



**Rochford District
Council**

Audit Committee

agenda

Date

28 July 2020

Time

7.30 pm

Place

Remote meeting – see live stream link on front page of the Council website at www.rochford.gov.uk

**The public are welcome to
attend this meeting**

Contact

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Members of the Audit Committee

Chairman: Cllr Mrs L A Butcher
Vice-Chairman: Cllr D Merrick

Cllr Mrs T L Carter
Cllr M Hoy
Cllr G J Ioannou
Cllr M J Lucas-Gill
Cllr R Milne

Cllr J E Newport
Cllr Mrs L Shaw
Cllr P J Shaw
Cllr D J Sperring

Terms of Reference

To exercise the Council's functions in relation to:-

- Matters arising out of internal audit and control reports
- The scope of internal audit activity
- Review of the adequacy of governance and risk management arrangements and internal control
- Consideration of matters arising from external audit as are referred to it by the External Auditor

Including the management of the budget in respect of these functions.

The Council's residents are at the heart of everything we do.

The Council's priorities are:-

- To be financially sustainable
- Early intervention
- To maximise our assets
- To enable communities

AGENDA

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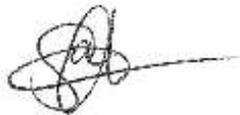
- 1 Apologies for Absence**
- 2 Substitute Members**
- 3 Non-Members attending**
- 4 Minutes of the Meeting held on 10 March 2020**
- 5 To Receive Declarations of Interest**
- 6 External Auditor Report: Certification of Claims and Returns Annual Report 2018/19** 6.1 – 6.20

To consider the report of the Assistant Director, Resources drawing Members' attention to the Certification of Claims and Returns Annual Report 2018/19.
- 7 2019/20 External Audit – Audit Plan and Updates** 7.1 – 7.56

To consider the report of the Assistant Director, Resources presenting the Council's external auditor's (EY) Annual Audit Plan (AAP) and Addendum covering the audit of the 2019/20 Financial Statements and providing an update on the status of the 2019/20 audit.
- 8 Internal Audit Annual Report 2019/20** 8.1 – 8.24

To consider the report of the Assistant Director, Resources providing the Chief Audit Executive's annual opinion on the overall adequacy and effectiveness of the Council's framework of governance, risk management and internal control during 2019/20.

- 9 Internal Audit Plan and Charter 2020/21** 9.1 – 9.17
- To consider the report of the Assistant Director, Resources setting out the Internal Audit plan for 2020/21 and presenting within the Internal Audit Charter the way in which Internal Audit will approach its remit.
- 10 Annual Governance Statement for 2019/20** 10.1 – 10.37
- To consider the report of the Assistant Director, Resources setting out details of the Annual Governance Statement for 2019/20 for Members' endorsement.
- 11 Audit Progress Report 2020/21** 11.1 – 11.21
- To consider the report of the Assistant Director, Resources providing an update on the work of the Internal Audit team in terms of progress made against the annual audit plan and action taken by service departments in implementing audit recommendations.
- 12 Review of the Council's Risk Management Framework and Corporate Risk Register** 12.1 – 12.23
- To consider the report of the Assistant Director, Resources providing details of the Council's proposed corporate risk register for 2020/21 for approval.



Angela Law
Assistant Director, Legal & Democratic